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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Daniel N. Bauer et al.

Docket Number: CH920020044US1

Serial No.: 10/535,123

Examiner: Not Yet Assigned

Filed: May 16, 2005

Confirmation No. 3610

For: **NETWORK TRAFFIC CONTROL IN** 

PEER-TO-PEER ENVIRONMENTS

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### **CERTIFICATION OF FACSIMILE TRANSMISSION PURSUANT TO 37 CFR 1.8**

I hereby certify that the following papers are being facsimile transmitted under 37 CFR Rule 1.6(d) to the U.S. Patent and Trademark Office ((571) 273-8300) on November 18, 2005: Response to Notice to File Missing Parts of Nonprovisional Application, Notice to File Missing Parts of Non-Provisional Application, and signed Declaration and Power of Attorney (5) pages - (total 6 pages, including the Certification of Facsimile Transmission).

Please charge the \$130.00 recordation fee to our deposit account 50-0510.

Dated: November &, 2005

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Sea 1430 Alexandra, Vincian ZZ313-1430 www.mpho.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.
10/535,123 Daniel N Bauer CH920020044US1

INTERNATIONAL APPLICATION NO.
PCT/IB03/04800

I.A. FILING DATE PRIORITY DATE

10/28/2003 PRIORITY DATE
10/28/2003 11/15/2002

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371 FORMALITIES LETTER
CO00000017190507\*

Date Mailed: 10/06/2005

YORKTOWN HEIGHTS, NY 10598

Due 12-6-05

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

Copy of the International Application filed on 05/16/2005

IBM CORPORATION, T.J. WATSON RESEARCH CENTER

- Copy of the International Search Report filed on 05/16/2005
- Copy of IPE Report filed on 05/16/2005
- Preliminary Amendments filed on 05/16/2005
- Oath or Declaration filed on 05/16/2005
- U.S. Basic National Fees filed on 05/16/2005
- Priority Documents filed on 05/16/2005
- Specification filed on 05/16/2005
- Claims filed on 05/16/2005
- Abstracts filed on 05/16/2005
- Drawings filed on 05/16/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
  or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the
  missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

### **VONDA M WALLACE**

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### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	international application no.	ATTY. DOCKET NO.
10/535,123	PCT/IB03/04800	CH920020044US1

FORM PCT/DO/EO/905 (371 Formalities Notice)